

01997.043600

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:	)	
	:	Examiner: Fozia M. HAMUD
Michael J. GRUSBY et al.	)	
	:	Art Unit: 1647
Appln. No.: 10/620,169	)	
	:	
Filed: July 15, 2003	)	Confirmation No.: 1105
	:	
For: METHODS AND COMPOSITIONS	)	
FOR MODULATING T HELPER	:	
(TH) CELL DEVELOPMENT AND	)	
FUNCTION	:	
	)	
U.S. Patent No.: 7,314,623 B2	:	
	)	
Issued: January 1, 2008	:	October 30, 2008

**Mail Stop Petitions**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST TO CORRECT ASSIGNEE  
UNDER 37 C.F.R. § 3.81(b)**

Sir:

Patentees respectfully request that the Cover Page of the above-captioned patent be corrected from "Assignee: Wyeth, Madison, NJ (US)" to read "Assignees: Wyeth, Madison, NJ (US); The President and Fellows of Harvard College, Cambridge, MA (US)." A copy of the Notice of Recordation of Assignment Document, indicating that an Assignment from Michael J. Grusby and Andrea L. Wurster to The President and Fellows of Harvard College was recorded at reel 015026, frames 0803-086, on March 2, 2004, is submitted herewith. Therefore,

Patentees respectfully submit that the omission of the additional assignee on the PTOL-85B was inadvertent.

Patentees further request, should this Petition be granted, that the file be forwarded to the Certificates of Correction Branch for issuance of a Certificate of Correction under 37 C.F.R. § 1.323, reflecting the corrected assignee information.

A deposit account payment of \$130.00 to cover the processing fee for this Petition is being paid concurrently herewith. Patentees have previously paid the statutory fee for a Request for Certificate of Correction to correct the assignee information on September 23, 2008. Therefore, Patentees respectfully submit that no additional fee is due. However, should the U.S. Patent and Trademark Office determine that additional fees are due, the Commissioner is hereby authorized to charge any additional fees, or credit any overpayment, to Deposit Account No. 06-1205.

Patentees' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

/Victoria S. Molenda/  
Victoria S. Molenda, Esq.  
Registration No. 57,443  
Attorney for Patentees

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 24, 2004

PTAS  
MINTZ, LEVIN, COHN, FERRIS GLOVSKY ET AL  
IVOR R. ELRIFI  
ONE FINANCIAL CENTER  
BOSTON, MA 02111



\*102686125A\*

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/02/2004

REEL/FRAME: 015026/0803  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GRUSBY, MICHAEL J.

DOC DATE: 02/23/2004

ASSIGNOR:

WURSTER, ANDREA L.

DOC DATE: 02/23/2004

ASSIGNEE:

THE PRESIDENT AND FELLOWS OF  
HARVARD COLLEGE  
1350 MASSACHUSETTS AVENUE  
HOLYOKE CENTER SUITE 727  
CAMBRIDGE, MASSACHUSETTS 02138

SERIAL NUMBER: 10620169

FILING DATE: 07/20/03

PATENT NUMBER:

ISSUE DATE:

TITLE: METHODS AND COMPOSITIONS FOR MODULATING T HELPER (TH) CELL  
DEVELOPMENT AND FUNCTION

<input checked="" type="checkbox"/> Data Entry	Done By <i>PM</i>
<input type="checkbox"/> Docket Entry	
<input type="checkbox"/> Docket Cross Off	
<input type="checkbox"/> Previously Entered	
<input type="checkbox"/> No Docketing Req	
<input type="checkbox"/> ELITE	
<input type="checkbox"/> Annuities	

**RECEIVED**

6302004

MINTZ, LEVIN, COHN, FERRIS GLOVSKY ET AL  
PATENT DOCKET DEPT.

015026/0803 PAGE 2

LAZENA MARTIN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Express Mail Label No. EV328703104US  
Date of Deposit: March 2, 2004

Attorney Docket No. 22058-585 (AM101001L/H.U.2103)

03-05-2004

OFFICE OF PUBLIC RECORDS

2004 MAR -2 AM 9:25

RECORDA



FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

FINANCE SECTION

102686125

3-2-04

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies) (date executed):

Michael J. Grusbay (Executed 2/23/04)  
Andrea L. Wurster (Executed 2/23/04)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: The President and Fellows of HARVARD COLLEGE

Internal Address: Holyoke Center  
Suite 727  
1350 Massachusetts Avenue  
Cambridge, MA 02138

Street Address: SAME

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No(s):

10/620,169 filed July 15, 2003

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: Ivor R. Elrifi  
Address: MINTZ, LEVIN, COHN, FERRIS  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, MA 02111

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41)

\$40.00

☒ Enclosed  
☐ The Commissioner is authorized to charge Deposit Account  
No: 50-0311, Reference No. 22058-585.

DO NOT USE THIS SPACE

8. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David E. Johnson, Reg. No. 41,874

March 2, 2004

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: [3]

Mail documents to be recorded with required cover sheet information to:

MAIL STOP ASSIGNMENT

Commission for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

03/04/2004 DEYRNE 00000119 10620169

01 FC:8021

40.00 DP

## ASSIGNMENT

We, **Michael J. Grusby of Newton, Massachusetts, and Andrea L. Wurster of Arlington, Massachusetts**, the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to **The President and Fellows of Harvard College**, a Massachusetts corporation, with offices at **Holyoke Center, Suite 727, 1350 Massachusetts Avenue, Cambridge, MA 02138**, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE: (1) our entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the applications for United States Letters Patent entitled:

### **METHODS AND COMPOSITIONS FOR MODULATING T HELPER (Th) CELL DEVELOPMENT AND FUNCTION**

filed with the U.S. Patent and Trademark Office on July 15, 2003 and assigned Serial No. 10/620,169 including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

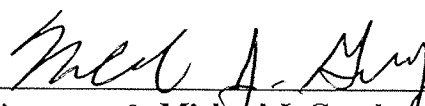
We agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

We further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

We hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

We further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

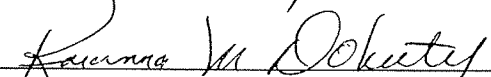
Date: 2/23/04

  
Signature of: Michael J. Grusby


State of Massachusetts  
County of Suffolk

Subscribed and sworn to before me this 23<sup>rd</sup> day of February, 2004

ROSANNA M. DOHERTY  
NOTARY PUBLIC

  
Notary Public  
My commission expires 03/06/09

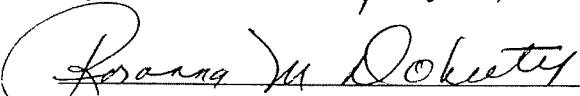
Date: 2/23/04

  
Signature of: Andrea L. Wurster

State of Massachusetts  
County of Suffolk

Subscribed and sworn to before me this 23 day of February, 2004

ROSANNA M. DOHERTY  
NOTARY PUBLIC

  
Notary Public  
My commission expires 03/06/09